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The Specification Property and Infinite Entropy for Certain Classes of Linear Operators

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The Specification Property and Infinite Entropy for Certain Classes of Linear Operators

James P. Kelly

Coauthors: Will Brian and Tim Tennant



CHRISTOPHER NEWPORT
UNIVERSITY

32nd Summer Conference on Topology and Its Applications

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- ▶ T is *hypercyclic* if there exists a point $x \in X$ such that the set

$$\{x, Tx, T^2x, T^3x, \dots\}$$

is dense in X .

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- ▶ T is *hypercyclic* if there exists a point $x \in X$ such that the set

$$\{x, Tx, T^2x, T^3x, \dots\}$$

is dense in X .

- ▶ A point $x \in X$ is *periodic* if $T^n x = x$ for some $n \in \mathbb{N}$.
- ▶ T is *Devaney chaotic* if it is hypercyclic and has a dense set of periodic points.

Specification Property

● y_1

● y_2

• • •

● y_s

Specification Property

$$\bullet T^{b_1} y_1$$

$$\vdots$$

$$\bullet T y_1$$

$$\bullet y_1$$

$$\bullet T^{b_2} y_2$$

$$\vdots$$

$$\bullet T^{a_2} y_2$$

$$\bullet y_2$$

$$\cdot \cdot \cdot$$

$$\bullet T^{b_s} y_s$$

$$\vdots$$

$$\bullet T^{a_s} y_s$$

$$\bullet y_s$$

Specification Property

$$\begin{array}{cccc} \bullet T^{b_1} y_1 & \bullet T^{b_2} y_2 & & \bullet T^{b_s} y_s \\ \vdots & \vdots & & \vdots \\ \bullet T y_1 & \bullet T^{a_2} y_2 & & \bullet T^{a_s} y_s \\ x \bullet y_1 & \bullet y_2 & \cdot \cdot \cdot & \bullet y_s \end{array}$$

Specification Property

$$T^{b_1}x \bullet \bullet T^{b_1}y_1$$

$$\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}$$

$$Tx \bullet \bullet Ty_1$$

$$x \bullet \bullet y_1$$

$$\bullet T^{b_2}y_2$$

$$\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}$$

$$\bullet T^{a_2}y_2$$

$$\bullet y_2$$

$$\cdot \cdot \cdot$$

$$\bullet T^{b_s}y_s$$

$$\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}$$

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Specification Property

$$\begin{array}{ccccccc} T^{b_1}x \bullet & \bullet T^{b_1}y_1 & T^{b_2}x \bullet & \bullet T^{b_2}y_2 & & & \bullet T^{b_s}y_s \\ & \vdots & & \vdots & & & \vdots \\ & \bullet & & \bullet & & & \bullet \\ Tx \bullet & \bullet Ty_1 & T^{a_2}x \bullet & \bullet T^{a_2}y_2 & & & \bullet T^{a_s}y_s \\ & & & & & & \\ x \bullet & \bullet y_1 & & \bullet y_2 & \cdot & \cdot & \cdot & \bullet y_s \end{array}$$

Specification Property

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Definition

Let K be a compact, T -invariant, subset of X . We say that T has the *specification property* on K if for all $\epsilon > 0$, there exists $N \in \mathbb{N}$ such that for any $s \in \mathbb{N}$, any points $y_1, \dots, y_s \in K$, and any integers

$$0 = a_1 \leq b_1 < a_2 \leq b_2 < \dots < a_s \leq b_s$$

which satisfy $a_{i+1} - b_i \geq N$ for $i = 1, \dots, s-1$, there exists a point $x \in K$ which is fixed by T^{N+b_s} and, for each $i = 1, \dots, s$ and all integers k with $a_i \leq k \leq b_i$, we have

$$d(T^k x, T^k y_i) < \epsilon.$$

Definition

T has the *operator specification property* if there exists an increasing sequence $(K_n)_{n=1}^{\infty}$ of compact, T -invariant sets with $0 \in K_1$ and

$$\overline{\bigcup_{n=1}^{\infty} K_n} = X$$

such that T has the specification property on K_n for each $n \in \mathbb{N}$.

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If T has the operator specification property, then

- ▶ T is Devaney chaotic.
- ▶ T has positive topological entropy.

The Frequent Hypercyclicity Criterion

Definition (Frequently Hypercyclic)

T is *frequently hypercyclic* if there exists a point $x \in X$ such that for every non-empty open set $U \subseteq X$,

$$\liminf_{n \rightarrow \infty} \frac{\text{card}(\{k \in \mathbb{N} : T^k x \in U\} \cap [1, n])}{n} > 0.$$

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Theorem (Frequent Hypercyclicity Criterion, Bonilla and Grosse-Erdmann, 2007)

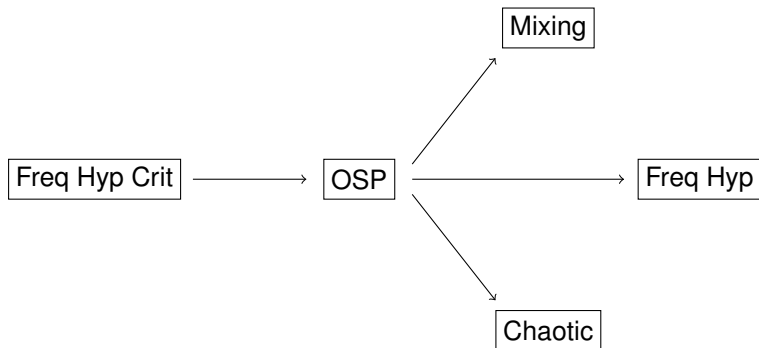
Let T be an operator on a separable F -space X . If there is a dense subset X_0 of X and a sequence of maps $S_n : X_0 \rightarrow X$ such that, for each $x \in X_0$,

1. $\sum_{n=1}^{\infty} T^n x$ converges unconditionally
2. $\sum_{n=1}^{\infty} S_n x$ converges unconditionally
3. $T^n S_n x = x$, and $T^m S_n x = S_{n-m} x$ for $n > m$.

Then T is frequently hypercyclic.

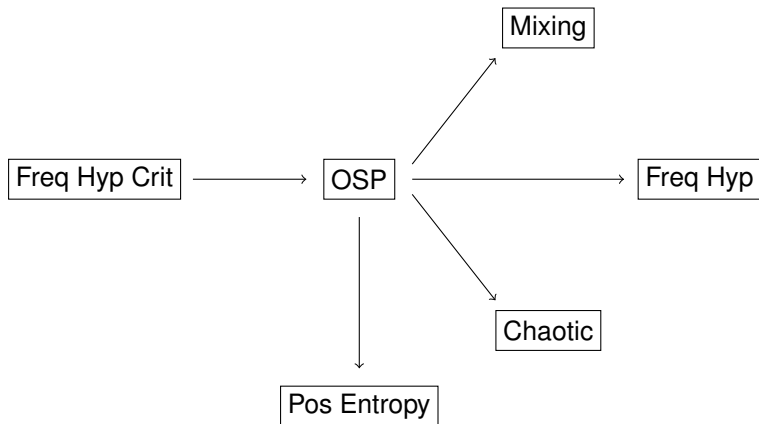
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(Adapted from a diagram by Bartoll, Martínez-Giménez, Peris)



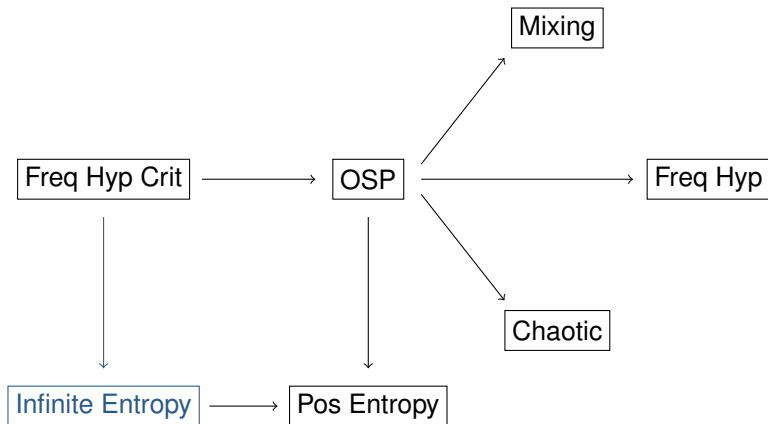
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- ▶ Let $K \subseteq X$ be compact. Given $n \in \mathbb{N}$ and $\epsilon > 0$, a set $S \subseteq K$ is called (n, ϵ) -separated if for any $x, y \in S$ with $x \neq y$, we have $d(T^k x, T^k y) \geq \epsilon$ for some $0 \leq k \leq n$.

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We denote the largest cardinality of an (n, ϵ) -separated subset of K by $s_{n, \epsilon}(T, K)$.

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We denote the largest cardinality of an (n, ϵ) -separated subset of K by $s_{n,\epsilon}(T, K)$.
- ▶ The *topological entropy of T restricted to the compact set K* is given by

$$h(T, K) = \lim_{\epsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{1}{n} \log s_{n,\epsilon}(T, K).$$

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We denote the largest cardinality of an (n, ϵ) -separated subset of K by $s_{n, \epsilon}(T, K)$.

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- ▶ The *topological entropy of T* is given by

$$h(T) = \sup\{h(T, K) : K \text{ is a compact subset of } X\}$$

Translation Operators on $L_v^p(\mathbb{R}_+)$

- ▶ Let $v : [0, \infty) \rightarrow [0, \infty)$ be a measurable function such that for every $b \geq 0$,

$$\int_0^b v(x) dx < \infty,$$

and for every $\alpha > 0$

$$\sup_{x>0} \frac{v(x)}{v(x + \alpha)} < \infty.$$

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- ▶ Then for each $1 < p < \infty$, we define

$$L_v^p(\mathbb{R}_+) = \left\{ f : \mathbb{R}_+ \rightarrow \mathbb{R} \mid \int_0^\infty |f(x)|^p v(x) dx < \infty \right\}$$

$$\|f\|_{L_v^p} = \left(\int_0^\infty |f(x)|^p v(x) dx \right)^{1/p}$$

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- ▶ For each $\alpha > 0$, we define the translation operator T_α on $L_v^p(\mathbb{R}_+)$ by

$$T_\alpha f(x) = f(x + \alpha).$$

Theorem (Mangino and Murillo-Arcila, 2015)

The following are equivalent:

1. T_α satisfies the Frequent Hypercyclicity Criterion.
2. T_α is frequently hypercyclic.
3. T_α has the operator specification property.
4. T_α is Devaney chaotic.
5. $\int_0^\infty v(x)dx < \infty$.

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Theorem (Brian, K, Tennant)

If any of the equivalent conditions in the theorem above are satisfied, then $h(T_\alpha) = \infty$.

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Theorem (Brian, K, Tennant)

If any of the equivalent conditions in the theorem above are satisfied, then $h(T_\alpha) = \infty$.

The converse does not hold.

The Backward Shift on l_v^p

▶ $l_v^p = \{(x_n)_{n=1}^\infty \in \mathbb{R}^{\mathbb{N}} : \sum_{n=1}^\infty |x_n|^p v_n < \infty\}$

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- ▶ $l_v^p = \{(x_n)_{n=1}^\infty \in \mathbb{R}^{\mathbb{N}} : \sum_{n=1}^\infty |x_n|^p v_n < \infty\}$
- ▶ $\|x\|_{l_v^p} = (\sum_{n=1}^\infty |x_n|^p v_n)^{1/p}$

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- ▶ $l_v^p = \{(x_n)_{n=1}^\infty \in \mathbb{R}^\mathbb{N} : \sum_{n=1}^\infty |x_n|^p v_n < \infty\}$
- ▶ $\|x\|_{l_v^p} = (\sum_{n=1}^\infty |x_n|^p v_n)^{1/p}$
- ▶ We define the backward shift B on l_v^p by

$$B(x_1, x_2, x_3, \dots) = (x_2, x_3, \dots).$$

Theorem (Bartoll, Martínez-Giménez, and Peris, 2015)

The following are equivalent:

1. $\sum_{n=1}^{\infty} v_n < \infty$
2. B has the operator specification property.
3. B is Devaney Chaotic.

The Backward Shift on l_v^p

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Theorem (Brian, K, Tennant)

If any of the equivalent conditions in the theorem above are satisfied, then $h(B) = \infty$.

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Example of a non-summable weight sequence where $h(B) = \infty$.

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$$P_1 = \{1\}$$

$$Q_1 = \{2, \dots, 10\}$$

Example of a non-summable weight sequence where $h(B) = \infty$.

$$\begin{aligned}P_1 &= \{1\} \\ Q_1 &= \{2, \dots, 10\}\end{aligned}$$

Suppose P_{j-1} and Q_{j-1} have been defined, and let $q = \max Q_j$. Then define

$$\begin{aligned}P_j &= \{q + 1, q + 2, \dots, q + j^2\} \\ Q_j &= \{q + j^2 + 1, q + j^2 + 2, \dots, q + 10j^2\}\end{aligned}$$

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We define the weight sequence $(v_n)_{n=1}^{\infty}$ as follows:

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We define the weight sequence $(v_n)_{n=1}^{\infty}$ as follows:

If $n \in P_j$, then $v_n = 1/j^2$.

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We define the weight sequence $(v_n)_{n=1}^{\infty}$ as follows:

If $n \in P_j$, then $v_n = 1/j^2$.

If $n \in Q_j$, then $v_n = v_{n-1}/2$.

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$$\begin{aligned}v_1 &= \frac{1}{1^2} \\v_2 &= \frac{1}{2 \cdot 1^2} \\v_3 &= \frac{1}{2^2 \cdot 1^2} \\&\vdots \\v_{10} &= \frac{1}{2^9 \cdot 1^2}\end{aligned}$$

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$$\begin{aligned}v_{11} &= \frac{1}{2^2} \\&\vdots \\v_{14} &= \frac{1}{2^2} \\v_{15} &= \frac{1}{2 \cdot 2^2} \\&\vdots \\v_{50} &= \frac{1}{2^{36} \cdot 1^2}\end{aligned}$$

The Backward Shift on l_v^p

If $(x_n)_{n=1}^{\infty}$ is bounded, and $x_n = 0$ for all $n \in \bigcup_{j=1}^{\infty} P_j$, then $(x_n)_{n=1}^{\infty} \in l_v^p$.

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Hence, for all $M \in \mathbb{N}$, we can embed the full shift on M symbols into l_v^p .

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It follows that $h(B) \geq 0.9 \log M$ for all $M \in \mathbb{N}$.

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It follows that $h(B) \geq 0.9 \log M$ for all $M \in \mathbb{N}$.

Thus $h(B) = \infty$.

THANK YOU