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Research Objective: To control the size and uniformity of quantum dots using nano-patterned planar InAs.

Motivation

- Tunable energy levels
- Orbital overlap
- Quantized phonon and electron levels

Self-Assembly Process

- A balance between surface effects and bulk effects determine when nuclei become stable
- Nucleation is random over surface area and time

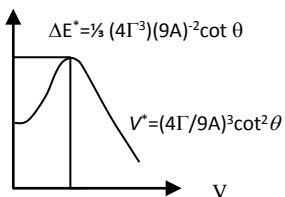
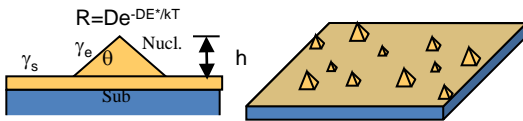
$$\Delta E = 4\Gamma V^{2/3} \tan^{1/3} \theta - 6AV \tan \theta$$

Surface *Bulk*

$$\Gamma = \gamma_e \csc \theta - \gamma_s \cot \theta$$

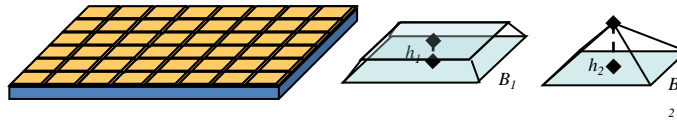
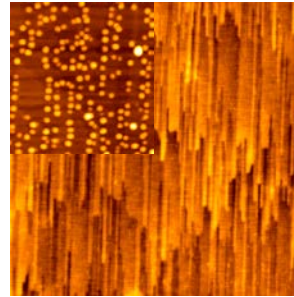
$$A = \sigma_{\square}^2 (1 - \nu) / (2\pi G) \quad \text{Strain}$$

$$V = h^3 \tan^2 \theta$$



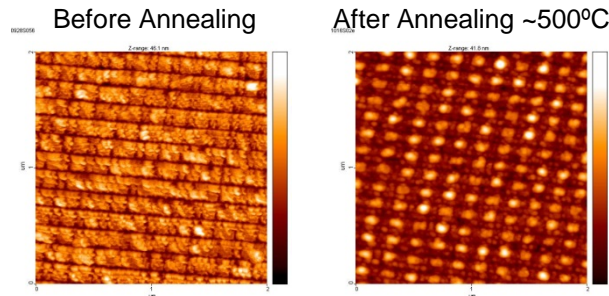
Fabricated Nucleation

- Surface tension can cause the surface of planar InAs to spontaneously reconstruct
- Nano-patterning allows us to adjust and control the surface tension; subsequent annealing under high arsenic overpressure causes the material to pull up and form quantum dots



- Size of squares are chosen so that the volume of material is larger than the critical nuclei size

2μ x 2 μ AFM images



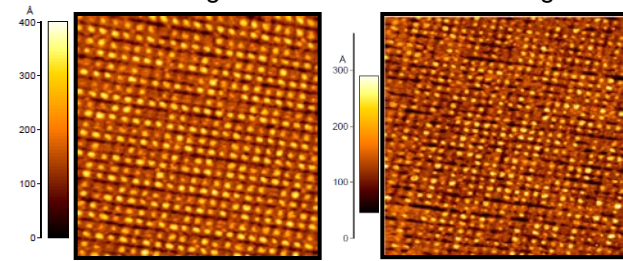
- Initial pattern ~1600Å x 1600Å , 45-50Å high
- Final structure 800Å x 800Å , 400Å high

Smaller Patterns

2μ x 2 μ AFM images after annealing

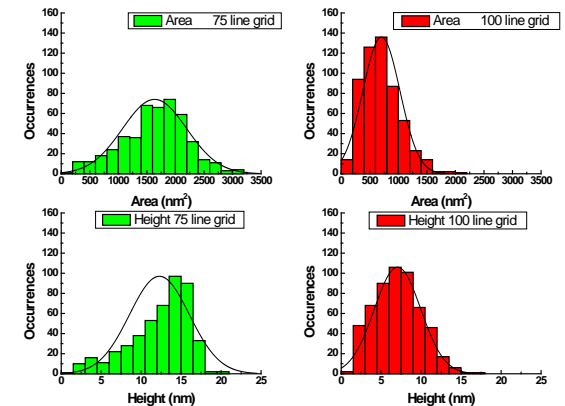
75 line grid

100 line grid



- 75 line grid ~460Å x 460Å , 120Å high
- 100 line grid ~ 300Å x 300Å , 70Å high

Grain Analysis



Pattern	75 line grid	100 line grid
Area ± Stand. Dev. [nm]	1636 ± 563	704 ± 325
Height ± Stand. Dev [nm]	12.3 ± 3.7	7.0 ± 2.9