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# Derivation of an Age and Weight Handicap for the 5K Run

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**DERIVATION OF AN AGE AND WEIGHT HANDICAP FOR THE 5K RUN**

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**Running Title: 5K Run Handicap**

1 Abstract

2

3 The adverse effect of increasing age and/or body weight on distance run performance has  
4 been well documented. Accordingly, nearly all five kilometer (5K) road races employ  
5 age categories and, sometimes, a heavier body weight classification. Problems with such  
6 conventions include small numbers of runners within older age categories and the  
7 advantage given to the lightest runners within each weight category. We developed a 5K  
8 Handicap (5KH), a model that calculates an adjusted run time based on the inputs of  
9 actual 5K run time, age, and body weight for men and women. This adjusted time, then,  
10 can be compared between runners of different ages and body weights. The purpose of  
11 this paper was to explain, in detail, the derivation of the 5KH formula using published  
12 theoretical and empirical findings on age, body weight and distance run time  
13 relationships. To our knowledge, the 5KH is the first such model and overcomes the  
14 problems associated with being heavier within one weight class and having too few  
15 runners in certain age categories. We are currently undertaking large-scale validation  
16 studies and evaluation of its race day implementation.

17

18 Key Words: allometry, age handicap, weight handicap, running

19

1            Participation in distance running road races suggests their increasing popularity.  
2            According to the Running USA’s Road Running Information Center  
3            ([www.runningusa.org](http://www.runningusa.org)), 2004 U.S. road race participation grew by an average of 4% from  
4            the previous year, with increases evidenced at every standard race distance, and nearly  
5            eight million finishers. Furthermore, recent research advances offer new ways to  
6            evaluate race performance that may enhance such participation especially for older and  
7            heavier runners.

8            While many factors contribute to distance run performance, the notion that  
9            runners of advanced age and body weight (BW) post slower times is widely accepted.  
10           Accordingly, nearly all races have age categories and, in some cases, body weight  
11           divisions. The primary limitation of the age categories is that there are often very few  
12           competitors in the older categories, sometimes fewer runners than awards. When BW  
13           divisions are used, typically only two heavier weight divisions are added such that  
14           runners above the minimum weight can compete against each other for the additional  
15           awards beyond those of the open division. The divisions, named “Clydesdale” for men  
16           and “Fillies” or “Athena” for women, have different BW cut-offs depending on the  
17           choice of organization affiliation ([www.clydesdale.org](http://www.clydesdale.org) or [www.usa-clydesdale.com](http://www.usa-clydesdale.com)).  
18           The minimum BW established for these divisions is arbitrarily assigned and the use of  
19           such a category gives competitors close to the minimum weight an advantage over  
20           heavier ones (based on physiological laws of similarity discussed in the Methods section  
21           below). These limitations could be mitigated by using an age and BW handicapping  
22           system that more precisely handicaps age and weight as continuous variables. More

1 importantly, the modeling of distance run performance has more recently included both  
2 theoretical and physiological evidence defending various handicap systems.

3         The World Association of Veteran Athletes (WAVA) has popularized an age  
4 handicap model for many different track and field events including distance runs up to  
5 the marathon. Though never published, and because the organization no longer exists,  
6 the WAVA age handicap model appears to be available only on an independent website  
7 (<http://www.howardgrubb.co.uk/athletics/wavalookup.html>, Nov 2005) which also  
8 includes links to the development of its algorithms. According to this source, the WAVA  
9 model is based on world best performances which determine the slopes of the age vs. run  
10 time curves. Comparisons of distance run times between individuals of different age,  
11 then, are based on distance from the curve. This can be problematic because the slope of  
12 any such curve is very sensitive to data at the limits of age. The fewer elderly  
13 competitors at the world class level would likely contribute to world bests not being age-  
14 comparable to those of younger runners. This, in turn, would lead to larger than  
15 appropriate age handicaps for older runners.

16         To our knowledge, however, there exists no published, physiologically defensible  
17 model that adjusts distance run time by age and BW. Recently, however, research has  
18 been published examining the relationship between body size and age on various indices  
19 of physical performance, including distance running (Nevill, Ramsbottom, & Williams,  
20 1992; Vanderburgh & Mahar, 1995). These data and the application of related  
21 theoretical models of allometry, also receiving attention in recent literature, can be used  
22 in combination to better quantify the contributions of age or BW on distance run times.

1           The first step in developing an age and BW handicap model for distance runs is to  
2 quantify the physiological effect of age on distance run performance. The pathway of  
3 assimilating empirical findings is based on two key relationships: age vs. maximal  
4 oxygen uptake ( $VO_{2max}$ ) and  $VO_{2max}$  vs. distance run time. The former has been well  
5 documented for men (Jackson et al., 1995) and women (Jackson et al., 1996). In these  
6 large scale studies, Jackson and colleagues assessed  $VO_{2max}$ , percent body fat, and self-  
7 reported physical activity levels for 1499 men (ages 20-75) and 409 women (ages 20-64).  
8 Using cross-sectional and longitudinal analyses, they determined that, for both genders,  
9 50% of the age-associated decline in  $VO_{2max}$  was due to the influence of percent body fat  
10 and self-reported physical activity. Furthermore, they quantified the change in  $VO_{2max}$   
11 with age independent of these two factors as 0.26 and 0.25  $ml \cdot kg^{-1} \cdot min^{-1} \cdot year^{-1}$  for men  
12 and women, respectively. These slopes are key in the consideration of an age handicap  
13 model for distance runs because they represent how functional capacity, a key  
14 determinant of distance run speed, should change due to age, independent of body fatness  
15 and activity level.

16           The link between  $VO_{2max}$  and distance run speed has been well-established for the  
17 5K distance. Nevill and colleagues assessed the relationship between 5K run speed and  
18  $VO_{2max}$  and BW for 308 recreationally active men and women (Nevill et al., 1992) and  
19 developed the following equation:

$$20 \qquad \qquad \qquad 5K \text{ Run Speed} = 84.3(VO_{2max})^{1.01}(BW)^{-1.03} \qquad (1)$$

21 where run speed,  $VO_{2max}$  and BW are expressed in  $m \cdot sec^{-1}$ ,  $l \cdot min^{-1}$  and kg, respectively.

22 This equation can then be used in combination with the findings of Jackson and

1 colleagues (1995, 1996) to quantify the independent effect of age on run speed and, in  
2 turn, develop the resulting precise age handicap.

3         The second step in developing a physiologically appropriate run handicap model  
4 is to quantify the independent contribution of body size to distance run time. We chose  
5 BW as the single body size variable and did not include height for two reasons. First,  
6 scaling laws dictate that the height should be approximately proportional to  $BW^{1/3}$   
7 (Astrand & Rodahl, 1986, pp. 399-405). In essence, accounting for BW also accounts for  
8 height, albeit, perhaps moderately at best. Second, and more importantly, BW is a more  
9 potent predictor of running economy than height (Bourdin, Pastene, Germain, & Lacour,  
10 1993; Walker, Murray, Jackson, Morrow, & Michaud, 1999).

11         A suitable starting point is, once again, Nevill's work referenced above (1992).  
12 They concluded empirically that 5K run speed was directly proportional to the simple  
13 ratio of  $VO_{2max}$  ( $l \cdot min^{-1}$ ) and Body Weight (BW):

$$14 \qquad \qquad \qquad \text{Run Speed} \propto VO_{2max} \cdot BW^{-1}. \qquad (2)$$

15 In turn, the following relationship has been documented theoretically (Astrand & Rodahl,  
16 1986, pp. 399-405, 1986) and empirically (Nevill et al., 1992):

$$17 \qquad \qquad \qquad VO_{2max} \propto BW^{2/3}. \qquad (3)$$

18 Substituting the right side of the relationship from Equation 2 into the  $VO_{2max}$  term in  
19 Equation 1 yields:

$$20 \qquad \qquad \qquad \text{Run Speed} \propto BW^{-1/3}. \qquad (4)$$

21 Because run speed is inversely proportional to run time (RT), then:

$$22 \qquad \qquad \qquad RT \propto BW^{1/3}. \qquad (5)$$



1           This relationship has empirical support. Crowder and Yunker (1996), in a sample  
2 of 238 fit service academy males, reported a BW exponent of  $0.26 \pm 0.06$  for the two-  
3 mile run. Similarly, Vanderburgh and Mahar (1995), with a sample of 59 male cadets  
4 from the same service academy, found the BW exponent to be  $0.40 \pm 0.09$ . Because  
5 these were active, fit, lean, and young cadets, the effect of BW on these run times can be  
6 considered somewhat independent of body fatness and physical activity level.

7           The purpose of this paper, then, was to use published theoretical and empirical  
8 evidence to develop an age and BW handicap system for the 5K distance run that could  
9 be used to evaluate run performance in a novel way.

10

## 11 METHODS

12           The basis of our methodology was to use these theoretically based and empirically  
13 supported findings to develop the 5K Handicap (5KH), an age and BW handicap model  
14 for male and female 5K runners. The model calculates an adjusted run time,  $RT_{adj}$ , the  
15 score that can be used to compare against those of other runners of different BW or age,  
16 within each gender. We modeled our approach after that of the Wilks Formula of the  
17 International Powerlifting Federation (<http://www.powerlifting-ipf.com>, Nov 2005),  
18 validated by Vanderburgh and Batterham (1999). This formula computes a correction  
19 factor,  $F$ , for BW which is multiplied by the total weight lifted to yield an adjusted score  
20 that determines the overall best lifter among all BW categories. For the 5KH, however,  
21 two correction factors are needed,  $F_{age}$  and  $F_{BW}$ , such that:

$$22 \qquad RT_{adj} = F_{BW} F_{age} RT \qquad (6)$$

1 But each F is equal to the ratio of the adjusted run time for that variable ( $RT_{BW}$   
2 and  $RT_{age}$ ) to the actual run time. Therefore:

$$3 \quad RT_{adj} = RT \cdot (RT_{BW} \cdot RT^{-1}) \cdot (RT_{age} \cdot RT^{-1})$$

4 or

$$5 \quad RT_{adj} = RT_{age} \cdot RT_{BW} \cdot RT^{-1} \quad (7)$$

6 Obtaining one's  $RT_{adj}$ , then, requires the calculation of  $RT_{age}$  and  $RT_{BW}$ . These can be  
7 thought of as the RT the runner would obtain if he/she were a “scale model” of  
8 him/herself but younger and lighter, at a standard age and BW for all runners. In other  
9 words, the 5KH statistically makes each runner the same age and BW and computes the  
10 resulting performance.

11 Distance run time, widely acknowledged to increase with increasing age and BW,  
12 presents an interesting modeling dilemma. One could mathematically infer that lower  
13 BW and age result in improved run performance. Clearly, though, as age and BW  
14 approach zero, run performance cannot possibly improve. To account for this, we  
15 established limits for both age and BW, below which RT would not be adjusted. These  
16 limits could be thought of as the “optimal” distance running age and BW for each gender.  
17 We chose 25 years, 50 kg for women, and 65 kg for men as these lower limits. The age  
18 value of 25 was based on the mean age 25 (male) and 26 (female) for the top 20 5K male  
19 and female runners in the world, according to the International Association of Athletics  
20 Federations (IAAF) rankings as of November, 2005 ([www.iaaf.org](http://www.iaaf.org), Nov 2005). The  
21 BWs of 50 kg for women and 65 kg for men were selected based on the mean BWs of  
22 samples of national-class female (Morikawa et al., 2001,  $N = 26$ , mean BW = 51.8 kg)  
23 and male (Saunders et al., 2004,  $N = 81$ , mean BW = 66.6 kg) middle-distance runners.

1 Using these elite lower limit values is actually more advantageous to novice runners than  
2 using those of the reference man and woman because this affords everyone above 50 kg  
3 (women), 65 kg (men), and/or 25 years of age a handicap.

4 Fundamentally,  $RT_{age}$  is the equivalent RT if the runner were “made younger” to  
5 the age of 25 years. This entails computing the change in  $VO_{2max}$  with the age change via  
6 Jackson’s slope values (1995, 1996) as well as the resulting RT using Equation 1.

7 Though the equation becomes a bit lengthy, it can be broken down into several steps.

8 First, the equivalent  $VO_{2max}$  is computed at the specified RT and BW with Nevill’s  
9 Equation 1 (1992). This involves both the conversion of run speed to run time and  
10 absolute ( $l \cdot min^{-1}$ ) to relative  $VO_{2max}$  ( $ml \cdot kg^{-1} \cdot min^{-1}$ ), both simple arithmetic operations.

11 Second, the resultant relative  $VO_{2max}$  is increased by adding actual minus target age (25  
12 years) and multiplying that number by the slopes of Jackson’s (1995,1996) age vs.  
13  $VO_{2max}$  slopes, 0.25 and 0.26  $ml \cdot kg^{-1} \cdot min^{-1} \cdot year^{-1}$  for women and men, respectively.

14 Third, this adjusted  $VO_{2max}$  is then used to recompute the  $RT_{age}$  using Equation 1.

15  $RT_{BW}$ , the adjusted RT due to BW alone, is computed by applying Equation 5:

16  $RT \propto BW^{1/3}$ . Similar to  $RT_{age}$ ,  $RT_{BW}$  is the functional equivalent of creating a scale

17 model of the runner at the standard weight of 50 and 65 kg, for women and men,

18 respectively and recomputing the resulting RT. If Equation 5 dictates that a change in

19  $BW^{1/3}$  is directly proportional to RT, then:

20 
$$RT_{BW} = RT \cdot (X \cdot BW^{-1})^{1/3} \quad (8)$$

21 where X = 50 and 65 kg, for women and men, respectively. Substituting into Equation

22 7, this yields the final basic formula to compute  $RT_{adj}$ :

23 
$$RT_{adj} = RT_{age} \cdot (X \cdot BW^{-1})^{1/3} \quad (9)$$

1 Armed with  $RT_{age}$  and  $RT_{BW}$ , and, of course, the actual RT, one can now apply  
2 Equation 7 to compute the final  $RT_{adj}$ , which is that adjusted for BW and age. Age and  
3 BW below the standard values should be entered as the standard values (e.g., “25” would  
4 be entered for an age of 18 and “65” would be entered for a male BW of 59 kg) because  
5 of the unknown nature of the physiological and allometric modeling for run times with  
6 children and young adults. Furthermore, the 5KH is designed to overcome the  
7 unavoidable RT increase due to age and BW, which primarily occurs only in adulthood.  
8 The formula is not designed to handicap young, pre-pubertal runners for being below the  
9 age and BW standards.

10

## 11 RESULTS

12 Table 1 shows four hypothetical examples of male and female runners and the  
13 adjusted run times associated with age alone,  $RT_{age}$ . Runner A receives minor credit for  
14 age because he is close to the age standard of 25 years. The 9 s of handicap he receives is  
15 due to the three extra years above 25 that would reduce his  $VO_{2max}$ . Note that runners B  
16 and D, who are 18 and 14 years, respectively, away from the standard of 25 years, receive  
17 the most handicap for age which shows up in the RT minus  $RT_{age}$ .

18 Table 1 also shows  $RT_{BW}$  for the same four runners. Runners B and D receive a  
19 larger handicap for BW than age because, physiologically, each experiences a greater  
20 handicap for being heavier compared to being older. Incidentally, Nevill’s Equation 1  
21 (1992) could have been used to compute the  $RT_{BW}$ , yielding approximately a 1%  
22 difference from the  $RT_{adj}$  obtained using Equation 8. This is because the 5KH model was  
23 predicated on Equation 4 which is slightly different from that derived from Equation 1

1 where the ratio of the  $VO_{2max}$  and BW exponents was not exactly 1.0. Our decision to  
 2 use Equation 4 rather than Equation 1 for BW adjustment was based on our preference to  
 3 defer to that which is theoretically more defensible rather than empirical. Nevertheless,  
 4 either approach yields very similar results.

5 Finally, Table 1 shows the  $RT_{adj}$  column. Runners B and D, who run  
 6 considerably slower than their counterparts of the same gender, actually score lower  $RT_{adj}$   
 7 values because they are older and heavier. Furthermore, for each runner, the handicap  
 8 due to age ( $RT$  minus  $RT_{age}$ ) plus that due to BW ( $RT$  minus  $RT_{BW}$ ) is greater than the  
 9 the total handicap ( $RT$  minus  $RT_{adj}$ ) because of Equation 7. In other words Equation 7  
 10 shows that the individual handicaps are not additive. The resulting equations, in the form  
 11 that can be quickly adapted to a common spreadsheet software program, for BW (kg),  
 12 AGE (years), and RT (sec), are:

13 Women's  $RT_{adj} = (59.31 * BW^{1.03} / ((((((59.31 * BW^{1.03} / RT)^{(1/1.01)) * 1000 / BW +$   
 14  $(AGE - 25) * 0.25)) * BW) / 1000)^{1.01} * (50 / BW)^{(1/3)} \quad (10)$

15  
 16 Men's  $RT_{adj} = (59.31 * BW^{1.03} / ((((((59.31 * BW^{1.03} / RT)^{(1/1.01)) * 1000 / BW + (AGE -$   
 17  $25) * 0.26)) * BW) / 1000)^{1.01} * (65 / BW)^{(1/3)} \quad (11)$

18  
 19 **DISCUSSION**

20 To our knowledge, the 5KH is the first age and BW distance run handicap model  
 21 developed using documented relationships between age and BW on distance run time.  
 22 The formula is based on the scale model approach that essentially recalculates a runner's  
 23 time if he/she were 25 years of age and 50 or 65 kg BW, for women and men,

1 respectively. This adjusted time,  $RT_{adj}$ , can be used to compare against any other runner  
2 of the same gender but of different BW and age. Figure 1 shows four hypothetical men  
3 and women of varying ages and BW and the effect of the overall handicap. Clearly, an  
4 older, heavier and slower runner can “compete” with younger, lighter and faster runners.

5         One might posit that the 5KH rewards excess body fat. Detailed analysis of some  
6 “what-if” scenarios suggests otherwise. Consider a man, 45 years, 85 kg, 15% body fat,  
7 who runs a 1500 s (25:00) 5K. His  $RT_{adj}$  would be 1227 s (20:27). If, however, he  
8 gained 3 kg of fat weight with no change in functional capacity, then his RT, according to  
9 Equation 1, would change to 1556 s (25:56), 56 s slower. His new  $RT_{adj}$  would be 1253 s  
10 (20:53), for a net slower  $RT_{adj}$  of 26 sec. Conversely, if he gained 3 kg of only muscle  
11 mass, assuming that functional capacity remained proportionally the same with respect to  
12 lean body mass, he would show a net improvement of 13 s in  $RT_{adj}$ . Finally, if the 3 kg  
13 gain in weight maintained the same percent body fat with similar functional capacity  
14 assumptions, the calculations reveal that his  $RT_{adj}$  would remain unchanged. This is  
15 expected because he would essentially be a larger scale model of himself. In short,  
16 excess fat weight is disadvantageous for the 5KH.

17         Validation of the 5KH is an important objective associated with any such model,  
18 but should be done only after careful consideration of the model’s characteristics. From a  
19 simplistic perspective, a valid model means that the bias against heavier and older  
20 runners is eliminated. Statistically, this could be ascertained by correlating age and BW  
21 each with  $RT_{adj}$ ; both correlations should be near zero. For a large sample of 5K runners,  
22 however, this is not likely even with a valid model. For example, few runners will give  
23 maximum effort. This will lead to slower RT performance and  $RT_{adj}$  scores not

1 commensurate with age or BW, yielding a spurious effect on the correlation.  
2 Furthermore, as mentioned previously, runners who are heavier due to excess body fat,  
3 will have slower than expected  $RT_{adj}$  scores. The 5KH's advantage, however, is that  
4 while effort and percent body fat are not directly measured, the model does penalize  
5 runners who give less than a maximum effort and/or carry excess body fat, a desirable  
6 outcome from a health perspective. When attempting to validate the 5KH, therefore,  
7 researchers need to control for the effects of effort and body fatness or at least consider  
8 them in the interpretation of the validation data. Additional information regarding the  
9 practice application of the 5KH will be available upon completion of our ongoing  
10 validation and implementation studies.

11 We compared the 5KH standards to those of the aforementioned WAVA  
12 (<http://www.howardgrubb.co.uk/athletics/wavalookup.html>, Nov 2005), and their age-  
13 only grading formula for the 5K. Because their data include the world best performance  
14 for different age categories, and the older categories have fewer competitors, we expected  
15 the WAVA model to provide a larger handicap for increasing age. Indeed, as shown in  
16 Figure 2, for an average RT, male and female, the WAVA standards show a steeper slope  
17 than the 5KH for either gender, indicating a larger handicap for older runners than  
18 physiological modeling supports. Furthermore, they more closely coincide with those of  
19 the 5KH at the lighter weights, which is to be expected because world bests across all age  
20 groups are most likely from light runners. Finally, the WAVA model, for heavier,  
21 younger runners, yields a significantly smaller handicap than the 5KH because the  
22 WAVA model makes no adjustment for body weight.

1           In conclusion, we have detailed the derivation of the 5KH, an age and BW  
2 handicap model for 5K runners, which yields an adjusted race time,  $RT_{adj}$ , based on the  
3 contribution of these two factors. This contribution has a theoretical and physiological  
4 basis that appears defensible. We recommend rigorous large scale validation studies of  
5 this model, which we are currently conducting; however, careful interpretation of results  
6 will be important given the likelihood that the handicap is not likely to eliminate all  
7 effects of age and BW due to the influences of effort and/or body fatness.



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Table 1. Four Hypothetical Examples of Runners with Handicaps for Age, BW, or Both

<b>Subject</b>	<b>Gender</b>	<b>RT (s)</b>	<b>Age (years)</b>	<b>BW (kg)</b>	<b>RT<sub>age</sub> (s)</b>	<b>RT<sub>BW</sub> (s)</b>	<b>RT<sub>adj</sub> (s)</b>
A	M	1080	27	68	1071	1064	1055
B	M	1212	43	89	1128	1091	1005
C	F	1104	28	55	1090	1069	1056
D	F	1315	39	79	1229	1129	1055

RT (s) = actual run time

BW (kg) = body weight

RT<sub>age</sub> = RT adjusted by Age only

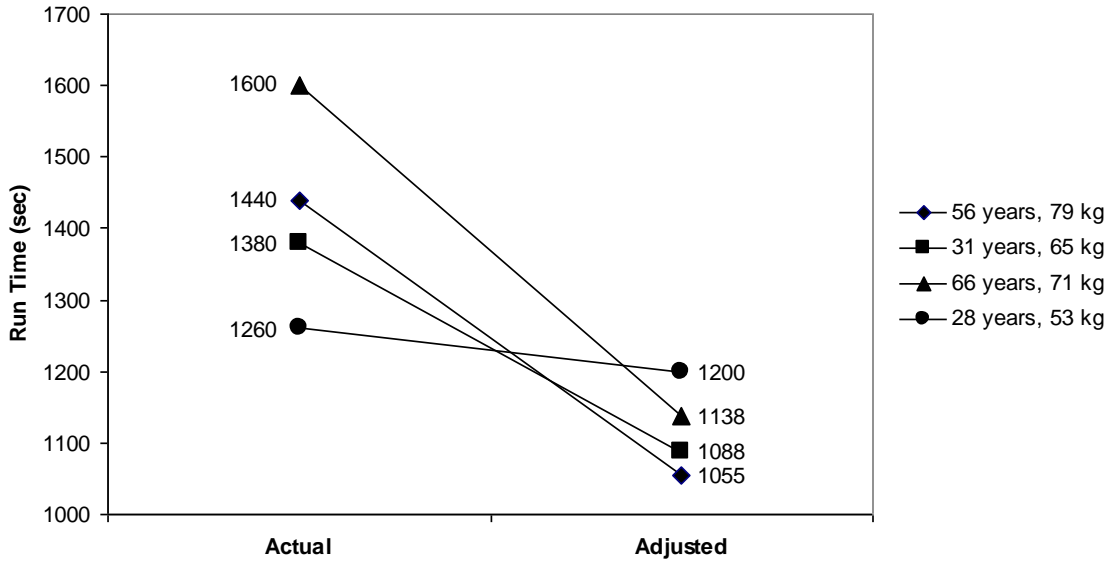
RT<sub>BW</sub> = RT adjusted by BW only

RT<sub>adj</sub> = Run Time (RT) adjusted by Age and BW

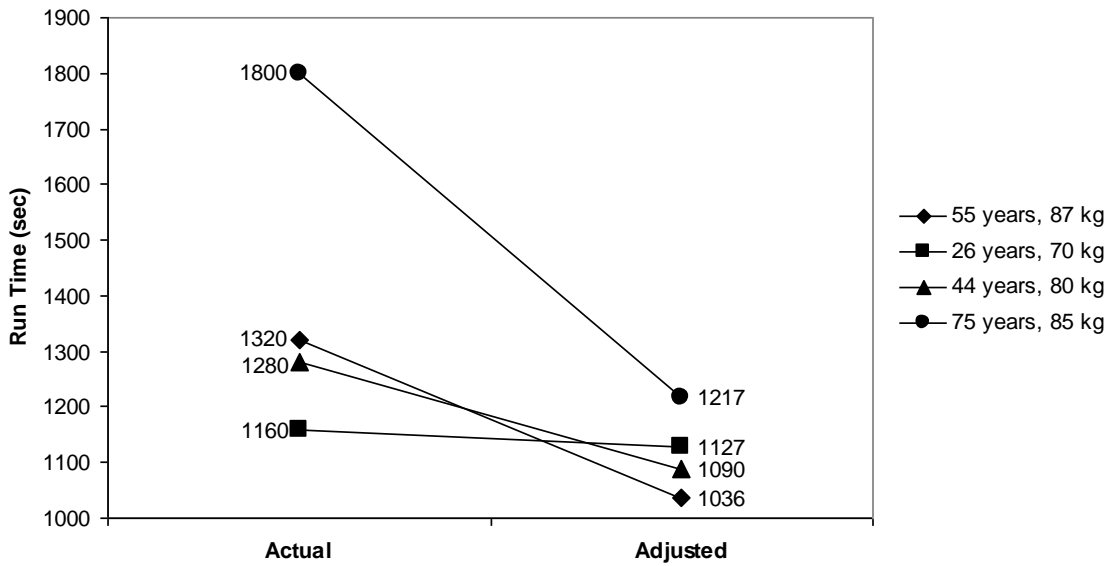
### Figure Caption

Figure 1. The 5K Handicap (5KH) for four hypothetical women and men of varying age and body weight. Run time values are shown adjacent to each data point.

### Women



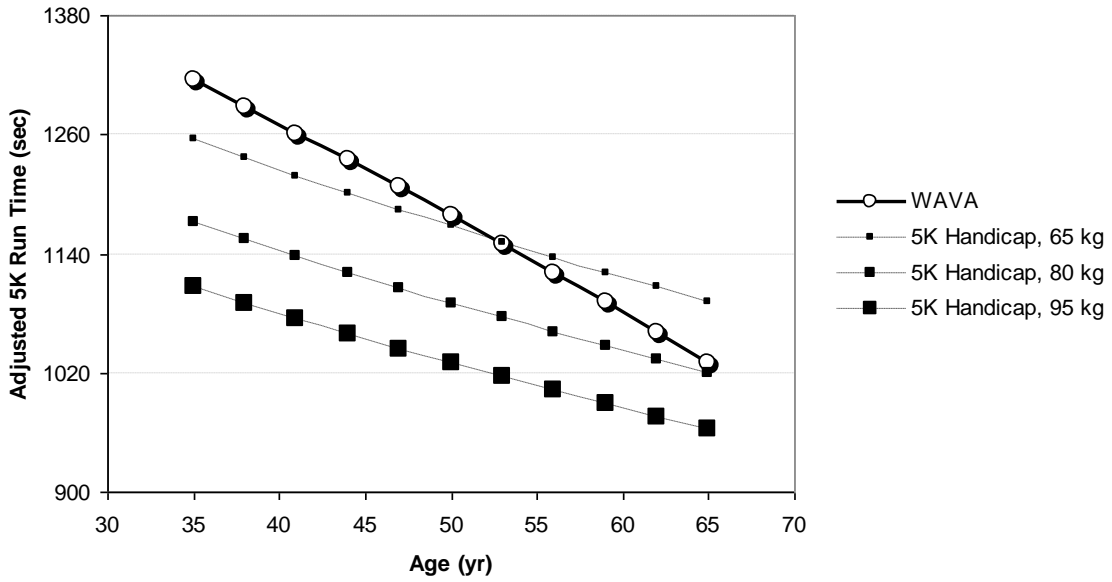
### Men



## Figure Caption

Figure 2. Comparison of World Association of Veteran Athletes (WAVA) age handicap standards with those of the 5K Handicap which handicaps both age and weight.

**Men with a 22:00 (1320 sec) 5K Actual Run Time**



**Women with a 25:00 (1500 sec) 5K Actual Run Time**

